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TENSOR PRODUCTS AND Λ₀- NUCLEAR

SPACES IN P-ADIC ANALYSIS

A.K. Katsaras

Abstract. The Λ_0 -nuclearity of the topological tensor product of two Λ_0 -nuclear spaces is studied. This problem is related to the question of whether the operator $T_1 \otimes T_2$ is Λ_0 -nuclear when T_1 and T_2 are Λ_0 -nuclear.

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0. INTRODUCTION Throughout this paper, K will be a complete non-Archimedean valued field whose valuation is nontrivial.

As it shown in [1], if E, F are locally convex spaces over K, then $E \otimes_{\pi} F$ is nuclear iff E, F are nuclear. In this paper we study the analogous problem for the Λ_0 -nuclear spaces which were introduced in [7]. We show that the question is related to each of the following two equivalent conditions:

- (1) If $T_1: E_1 \to F_1$, $T_2: E_2 \to F_2$ are Λ_0 -nuclear operators, then $T_1 \otimes T_2: E_1 \otimes_{\pi} E_2 \to F_1 \otimes_{\pi} F_2$ is Λ_0 -nuclear.
- (2) If $\xi, \eta \in \Lambda_0 = \Lambda_0(P)$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that

$$(\xi_{\sigma_1(\eta)}\eta_{\sigma_2(\eta)})\in\Lambda_0$$

In case the Köthe set P is countable, it is shown that the above conditions are equivalent to:

(3) For each $\alpha \in P$ there exists $\beta \in P$ such that $\sup_{n} \alpha_{n^2}/\beta_n < \infty$.

1. PRELIMINARIES

By a Köthe set we will mean a collection P of sequences $\alpha = (\alpha_n)$ of non-negative real numbers with the following two properties:

(i) For every $n \in N$ there exists $\alpha \in P$ with $\alpha_n \neq 0$.

(ii) If $\alpha, \alpha' \in P$, then there exists $\beta \in P$ with $\alpha, \alpha' \ll \beta$, where $\alpha \ll \beta$ means that there exists d > 0 such that $\alpha_n \leq d\beta_n$ for all n.

For $\alpha \in P$ and $\xi = (\xi_n)$ a sequence in K, we define $p_{\alpha}(\xi) = \sup_{n} \alpha_n |\xi_n|$. The non-Archimedean Köthe sequence space $\Lambda(P) = \Lambda$ is the space of all $\xi \in K^N$ such that $p_{\alpha}(\xi) < \infty$ for all $\alpha \in P$. On $\Lambda(P)$ we consider the locally convex topology generated by the family of non-Archimedean seminorms $\{p_{\alpha} : \alpha \in P\}$. The subspace $\Lambda_0 = \Lambda_0(P)$ of $\Lambda(P)$ consists of all $\xi \in \Lambda(P)$ such that $\alpha_n |\xi_n| \to 0$ for all $\alpha \in P$. The Köthe set P is called stable if for each $\alpha \in P$ there exists $\beta \in P$ such that $\sup_{n} \alpha_{2n}/\beta_n < \infty$. By [5, Proposition 2.12], if P is stable and if $\xi, \eta \in \Lambda$ (resp. $\xi, \eta \in \Lambda_0$), then

$$\xi * \eta = (\xi_1, \eta_1, \xi_2, \eta_2, \ldots) \in \Lambda$$
 (resp. $\xi * \eta \in \Lambda_0$).

The Köthe set P is called a power set of infinite type if

- 1) For each $\alpha \in P$ we have $0 < \alpha_n \le \alpha_{n+1}$ for all n.
- 2) For every $\alpha \in P$ there exists $\beta \in P$ such that $\alpha^2 \ll \beta$.

If $\gamma = (\gamma_n)$ is an increasing sequence and if we take $P = \{(p^{\gamma_n}) : p > 1\}$, then P is a a power set of infinite type. In this case we denote $\Lambda(P)$ by $\Lambda_{\gamma,\infty}$. If $\gamma_n \to \infty$, then for $\Lambda = \Lambda_{\gamma,\infty}$, we have $\Lambda = \Lambda_0$ (see [3,, Corollary 3.5]).

Next we will recall the concepts of a Λ_0 -compactoid set and a Λ_0 nuclear map, which are given in [5], and the concept of a Λ_0 -nuclear space given in [7]. For a bounded subset A, of a locally convex space E over K, and for a non-negative integer n, the nth Kolmogorov diameter $\delta_{n,p}(A)$ of A, with respect to a continuous seminorm p on E ($p \in cs(E)$), is the infimum of all $|\mu|, \mu \in K$, for which there exists a subspace F of E, with $dim F \leq n$, such that $A \subset F + \mu B_p(0,1)$, where

$$B_p(0,1) = \{x \in E : p(x) \le 1\}.$$

The set A is called Λ_0 -compactoid if, for each $p \in cs(E)$, there exists $\xi = \xi_p \in \Lambda_0$ such that $\delta_{n,p}(A) \leq |\xi_{n+1}|$ for all n (or equivalently $\alpha_n \delta_{n-1,p}(A) \to 0$ for each $\alpha \in P$). A continuous linear operator $T: E \to F$ is called:

- a) Λ_0 -compactoid if there exists a neighborhood V of zero in E such that T(V) is Λ_0 -compactoid in F.
- b) Λ_0 -nuclear if there exist an equicontinuous sequence (f_n) in E', a bounded sequence $(y_n \text{ in } F \text{ and } (\lambda_n) \in \Lambda_0 \text{ such that } :$

$$Tx = \sum_{n=1}^{\infty} \lambda_n f_n(x) y_n \quad (x \in E).$$

For a continuous linear map T, from a normed space E to another one F, and for a non-negative integer n, the nth approximation number $\alpha_n(T)$ of T is defined by

$$\alpha_n(T) = \inf\{\|T - A\| : A \in \mathcal{A}_n(E, F)\}$$

where $A_n(E, F)$ is the collection of all continuous linear operators $A: E \to F$ with $dim A(E) \leq n$.

Throughout the rest of the paper, P will be a Köthe set, which is a power set of infinite type, and $\Lambda_0 = \Lambda_0(P)$.

Let now E be a locally convex space over K. For $p \in cs(E)$, we will denote by E_p the quotient space E/kerp equipped with the norm $||[x]_p|| = p(x)$. A Hausdorff locally convex space E is called Λ_0 -nuclear (see [7]) if for each $p \in cs(E)$ there exists $q \in cs(E)$, $p \leq q$, such that the canonical map $\phi_{pq} : E_q \to E_p$ is Λ_0 -nuclear (or equivalently Λ_0 -compactoid). If $\phi_q : E \to E_q$ is the quotient map, then $\phi_q(B_q(0,1))$ is the closed unit ball in E_q . It is now clear that E is Λ_0 -nuclear iff for each $p \in cs(E)$ the map $\phi_p : E \to E_p$ is Λ_0 -nuclear.

Note that if P consists of the single constant sequence $(1,1,\ldots)$, then $\Lambda_0(P)=c_0$ and so in this case the Λ_0 -compactoid sets, the Λ_0 -compactoid operators and the Λ_0 -nuclear operators coincide with the compactoid sets, the compactoid operators and the nuclear operators, respectively. Also, if $T_1: E \to F, T_2: F \to G$ are continuous linear maps and if one of the T_1, T_2 is Λ_0 -compactoid (resp. Λ_0 -nuclear), then T_1, T_2 is Λ_0 -compactoid (resp. Λ_0 -nuclear) ([5, Proposition 3.21 and Proposition 4.5]). But for normed spaces E, F the class of all Λ_0 -nuclear operators from E to F is not necessarily a closed subset of the space of all continuous linear operators from E to F ([6, Corollary 3.7]).

We will denote the completion, of a Hausdorff locally convex space E, by \widehat{E} .

We will need a Proposition which is given in [4, Proposition 5.1]. For an index set I, let $c_0(I)$ be the vector space of all $\xi \in K^I$ such that $|\xi_i| \to 0$, i.e. for each $\epsilon > 0$ the set $\{i \in I : |\xi_i| > \epsilon\}$ is finite. On $c_0(I)$ we consider the norm $\|\xi\| = \sup_i |\xi_i|$.

Proposition 0.1: Let $\zeta = (\zeta_i)$ be a fixed element of $c_0(I)$ and consider the map

$$T: c_0(I) \to c_0(I), \ (T\xi)_i = (\xi_i \zeta_i).$$

Then, for each non-negative integer n we have

$$\alpha_n(T) = \sup_{J \in \mathcal{F}_{n+1}} \inf_{i \in J} |\zeta_i|$$

where \mathcal{F}_{n+1} is the collection of all subsets of I containing n+1 elements.

2. ON THE Λ_0 -NUCLEAR MAPS

For a fixed $\xi \in c_0$, the map $T_{\xi}: c_0 \to c_0$ is defined by $(T_{\xi}x)_i = \xi_i x_i$ for each $x \in c_0$. As it easy to see, if $\xi \in \Lambda_0$, then T_{ξ} is Λ_0 -nuclear.

Proposition 2.1: Let E, F be locally convex spaces over K, where F is complete, and let $T: E \to F$ be a Λ_0 -nuclear map. Then, there exist $\xi \in \Lambda_0$ and continuous linear maps $T_1: E \to c_0, T_2: c_0 \to F$ such that $T = T_2 T_\xi T_1$.

Proof: Let $(\lambda_n) \in \Lambda_0$, (f_n) an equicontinuous sequence in E' and (y_n) a bounded sequence in F be such that $Tx = \sum_n \lambda_n f_n(x) y_n$ for all $x \in E$. Let $|\lambda| > 1$ and choose $\mu_n \in K$ such that $|\mu_n| \le \sqrt{|\lambda_n|} \le |\lambda \mu_n|$. As it is shown in the proof of Theorem 4.6 in [5], $(\mu_n) \in \Lambda_0$. Let $\xi = (\xi_n)$ where $\xi_n = 0$ if $\mu_n = 0$ and $\xi_n = \lambda_n \mu_n^{-1}$ if $\mu_n \ne 0$. Then $(\xi_n) \in \Lambda_0$. Define

$$T_1: E \to c_0, \ T_1 x = (\mu_n f_n(x)).$$

Let $D=(T_{\xi}T_1)(E)$. If \bar{D} is the closure of D in c_0 , then there exists a projection Q of c_0 onto \bar{D} with $\|Q\| \leq |\lambda|$ (see [10, Theorem 3.16]). Let $S:D\to F, S(T_{\xi}T_1x)=Tx$. Then S is well defined and continuous. Let $\tilde{S}:\bar{D}\to F$ be the continuous extension of S and define $T_2:c_0\to F, T_2=\bar{S}Q$. Now $T=T_2T_{\xi}T_1$

Lemma 2.2: Let $\xi = (\xi_n) \in K^N$ be such that $|\xi_n| \ge |\xi_{n+1}|$ for all n. If there exists a permutation σ of N such that $(\xi_{\sigma(n)}) \in \Lambda_0$, then $\xi \in \Lambda_0$

Proof. Let $\zeta = (\xi_{\sigma(n)})$ and let $T = T_{\zeta} : c_0 \to c_0$. Since $\zeta \in \Lambda_0$, T is Λ_0 -nuclear. In view of [5, Theorem 4.1], T is of type Λ_0 and so there exists $(\mu_n) \in \Lambda_0$ such that $\alpha_n(T) \leq |\mu_{n+1}|$ for all n. Using Proposition 0.1, we get that $\alpha_n(T) = |\xi_{n+1}|$, which clearly implies that $\xi \in \Lambda_0$.

Definition 2.3: Let Let $\xi = (\xi_n) \in K^N$. A sequence $\zeta = (\zeta_n)$ is called a decreasing rearrangement of ξ if:

- $|\zeta_n| \ge |\zeta_{n+1}|$, for all n.
- b) There exists a permutation σ on N such that $\zeta_n = \xi_{\sigma(n)}$ for all n.

It is easy to see that if (ζ_n) and (μ_n) are decreasing rearrangements of ξ , then $|\zeta_n| = |\mu_n|$ for all n,

Proposition 2.4: Let $\xi = (\xi_n) \in c_0$ with $\xi_n \neq 0$ for all n. Then:

- a) There exists a decreasing rearrangement of ξ .
- b) If $\xi \in \Lambda_0$ and if $(\xi_{\sigma(n)})$ is any decreasing rearrangement of ξ , then $(\xi_{\sigma(n)}) \in \Lambda_0$.

Proof: a) Let n_1 be the first of all indices k with $|\xi_k| = \sup_m |\xi_m| = \max_m |\xi_m|$. Having chosen n_1, n_2, \ldots, n_m , let n_{m+1} be the first index $k \neq n_1, n_2, \ldots, n_m$ with $|\xi_k| = \max\{|\xi_n| : n \neq n_1, n_2, \ldots, n_m\}$. Let $\sigma: N \to N, \sigma(m) = n_m$. We claim that $(\xi_{\sigma(n)})$ is a decreasing rearrangement of ξ . Since $|\xi_{n_m}| \geq |\xi_{n_{m+1}}|$ for all m, it only remains to show that $\sigma(N) = N$. So, let $m \in N$ and suppose $m \notin \sigma(N)$. For each $k \in N$, since $m \neq n_1, n_2, \ldots, n_{k-1}$, we have $|\xi_m| \leq |\xi_{n_k}|$. This contradicts the fact that the set $N_1 = \{k : |\xi_k| \geq |\xi_m|\}$ is finite.

b) It follows from Lemma 2.2.

Let ϕ be the subspace of Λ_0 consisting of all sequences in K with only a finite number of non-zero terms. Suppose that $\Lambda_0 \neq \phi$ (this for instance happens when P is countable

by [6, Remark 4,4]). If $\xi \in \Lambda_0 \setminus \phi$ and if $\mu_n \in K$, $|\mu_n| = \sup_{k \ge n} |\xi_k|$, then $(\mu_n) \in \Lambda_0$ and $\mu_n \ne 0$ for all n.

Proposition 2.5: Let E, F be locally convex spaces, where F is metrizable and let G be a dense subspace of F. Let $T \in L(E, F)$ be Λ_0 -nuclear and suppose that P is stable and that $\Lambda_0 \neq \phi$. Then, there exist $(\xi_n) \in \Lambda_0$, an equicontinuous sequence (g_n) in E' and a bounded sequence (z_n) in G such that

$$Tx = \sum_{n} \xi_{n} g_{n}(x) z_{n} \ (x \in E)$$

Proof: Let (p_m) be an increasing sequence of continuous seminorms on F generating its topology. Since G is dence in \widehat{F} , we may assume that F is complete. Let $(\lambda_n) \in \Lambda_0$, $0 < |\lambda_{n+1}| \le |\lambda_n|$. Since T is Λ_0 -nuclear, there exist $(\mu_n) \in \Lambda_0$, (h_n) an an equicontinuous sequence in E' and a bounded sequence (y_n) in F such that $Tx = \sum_n \mu_n h_n(x) y_n$. We may assume that $|\mu_n| \le 1$ for all n. For each positive integer n, there are unique positive integers k, m such that $n = (2m-1)2^{k-1}$. Set $\xi_m^{(k)} = \lambda_{(2m-1)2^{k-1}}$. Choose $z_m^{(k)} \in G$ such that

$$\max\{p_m(z_m^{(k)}-y_k),p_k(z_m^{(k)}-y_k)\} \leq |\xi_{m+1}^{(k)}|.$$

Set $w_1^{(k)} = z_1^{(k)}$ and $w_m^{(k)} = z_m^{(k)} - z_{m-1}^{(k)}$ if $m \ge 2$. For all k, we have $y_k = \lim_{m \to \infty} z_m^{(k)}$. Indeed, let $n \in \mathbb{N}$. If $m \ge n$, then

$$p_n(z_m^{(k)} - y_k) \le p_m(z_m^{(k)} - y_k) \le |\xi_{m+1}^{(k)}| \to 0 \text{ as } m \to \infty.$$

Since $\sum_{i=1}^m w_i^{(k)} = z_m^{(k)}$, we have that $y_k = \sum_{m=1}^\infty w_m^{(k)}$. Thus, for all $x \in E$, we have

$$Tx = \sum_k \mu_k h_k(x) y_k = \sum_k \sum_m \mu_k h_k(x) w_m^{(k)}.$$

Let $v_1^{(k)} = w_1^{(k)}$, $\eta_1^{(k)} = 1$. For $m \ge 2$, let $v_m^{(k)} = w_m^{(k)}/\xi_m^{(k)}$, $\eta_m^{(k)} = \xi_m^{(k)}$. The set $\{v_m^{(k)} : m \ge 2, k \in N\}$ is bounded in G. In fact, let $n \in N$. If k > n, then

$$p_n(w_m^{(k)}) = max\{p_k(z_m^{(k)} - y_k), p_k(z_{m-1}^{(k)} - y_k)\}$$

$$\leq max\{|\xi_{m+1}^{(k)}|, |\xi_m^{(k)}| = |\xi_m^{(k)}|.$$

Similarly, for m > n, we have

$$p_n(w_m^{(k)}) \le \max\{p_m(z_m^{(k)} - y_k), p_{m-1}(z_{m-1}^{(k)} - y_k)\} \le |\xi_m^{(k)}|.$$

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Also, the set $\{v_1^{(k)}: k \in N\} = \{z_1^{(k)}: k \in N\}$ is bounded since, for $n \in N$ and k > n we have

$$p_n(z_1^{(k)}) \leq \max\{p_k(z_1^{(k)} - y_k), p_n(y_k)\} \leq \max\{|\xi_2^{(k)}|, p_n(y_k)\}$$

and so $\sup_{k} p_n(z_1^{(k)}) < \infty$ since (y_k) and (λ_m) are bounded. Let

$${n_1 < n_2 < \ldots} = {(2m-1)2^{k-1} : k \in N, m \ge 2}.$$

For $i \in N$, set $\xi_i = \mu_k \lambda_{(2m-1)2^{k-1}}$, $f_i = h_k$ and $z_i = v_m^{(k)}$ if $n_i = (2m-1)2^{k-1}$. Since every subsequence of (λ_n) is in Λ_0 and since $|\mu_k| \le 1$ for all k, it is clear that $\xi = (\xi_i) \in \Lambda_0$. Let $\zeta_k = \mu_k, w_k = z_1^{(k)}$. If $\zeta = (\zeta_k)$ then $\xi * \zeta \in \Lambda_0$ since P is stable. Moreover

$$Tx = \xi_1 f_1(x) z_1 + \zeta_1 h_1(x) w_1 + \xi_2 f_2(x) z_2 + \zeta_2 h_2(x) w_2 + \dots$$

This completes the proof.

Proposition 2.6: Let F be a dense subspace of a Hausdorff locally convex space over K. Then, E is Λ_0 -nuclear iff F is Λ_0 -nuclear.

Proof: In view of [7, Proposition 3.4], a locally convex space M is Λ_0 -nuclear iff every continuous linear map from M to any Banach space G is Λ_0 -nuclear. Now the result follows easily from this and the fact that every continuous linear map, from F to any Banach space, has a continuous extension to all of E.

3. TENSOR PRODUCTS AND Λ₀-NUCLEAR SPACES

Proposition 3.1: Let P be countable. Then, the following are equivalent:

- (1) P is stable.
- (2) For all $\xi, \eta \in \Lambda_0$ we have $\xi * \eta \in \Lambda_0$.
- (3) For every $\xi \in \Lambda_0$ we have $\xi * \xi \in \Lambda_0$.
- (4) If $\xi, \eta \in \Lambda_0$, then some rearrangement of the sequence $\xi * \eta$ is in Λ_0 .
- (5) If $\xi \in \Lambda_0$, then some rearrangement of $\xi * \xi$ is in Λ_0 .

Proof: (1) implies (2) by [5, Proposition 2.12].

- (3) \Rightarrow (4). Let $\zeta_n \in K$, $|\zeta_n| = max\{|\xi_n|, |\eta_n|\}$. Then $\zeta = (\zeta_n) \in \Lambda_0$. Since $\zeta * \zeta \in \Lambda_0$, it is clear that $\xi * \eta \in \Lambda_0$.
- (5) \Rightarrow (1). Let $|\lambda| > 1$. Without loss of generality, we may assume that $P = \{\alpha^n : n \in \mathbb{N}\}, |\lambda|\alpha^n \leq \alpha^{n+1}$.

Suppose that P is not stable and let $\alpha \in P$ be such that $\sup_n \alpha_{2n}/\beta_n = \infty$ for every $\beta \in P$. Choose indices $n_1 < n_2 < \dots$ such that $\alpha_{2n_k}/\alpha_{n_k}^{(k)} > k$ for all k. There are $\lambda_k \in K$ with

$$|\lambda^{-1}\lambda_k \leq (k\alpha_{n_k}^{(k)})^{-1} \leq |\lambda_k|.$$

Let $n_0 = 0$ and for $n_{k-1} < n \le n_k$ set $\xi_n = \lambda_k$. Now, for every $k \in K$ we have $|\lambda_{k+1}| \le |\lambda_k|$. Moreover $\xi = (\xi_n) \in \Lambda_0$. In fact, if $k_0 \in N$, then for $k \ge k_0$ we have

$$\alpha_{n_k}^{(k_0)}|\xi_{n_k}| \le \alpha_{n_k}^{(k)}|\xi_{n_k}| \le |\lambda|/k \to 0.$$

By our assumption (5), there exists a rearrangement of the sequence $(\gamma_n) = \xi * \xi$ which belongs to Λ_0 . This, and the fact that $|\gamma_n| \ge |\gamma_{n+1}|$ for all n, imply that $(\gamma_n) \in \Lambda_0$ (by Lemma 2.2). But $\alpha_{2n_k} |\xi_{n_k}| \ge k \alpha_{n_k}^{(k)} (k \alpha_{n_k}^{(k)})^{-1} = 1$, a contradiction.

Proposition 3.2: Let P be countable and suppose that for each $\xi \in \Lambda_0$ there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)} \xi_{\sigma_2(n)}) \in \Lambda_0$. Then, P is stable.

Proof: Let $|\lambda| > 1$. Without loss of generality, we may assume that $P = \{\alpha^{(n)} : n \in N\}, |\lambda|\alpha^{(n)} \leq \alpha^{(n+1)}$ for all n. Suppose that P is not stable and let $\alpha \in P$ be such that $\sup_n \alpha_{2n}/\beta_n = \infty$ for all $\beta \in P$. As in the proof of the implication $(5) \Rightarrow (1)$ in the preceding proposition, let $n_0 = 0 < n_1 < \dots$ be such that $\alpha_{2n_k}/\alpha_{n_k}^{(k)} > k$ and let $|\lambda^{-1}\lambda_k| \leq (k\alpha_{n_k}^{(k)})^{-1} \leq |\lambda_k|$. If $n_{k-1} < n \leq n_k$, set $\xi_n = \lambda_k$. Then $(\xi_n) \in \Lambda_0$. By our hypothesis there is some rearrangement of the sequence

$$\zeta = (\xi_1 \xi_1, \xi_1 \xi_2, \xi_2 \xi_1, \xi_1 \xi_3, \xi_2 \xi_2, \xi_3 \xi_1, \ldots)$$

which belongs to Λ_0 . In view of Lemma 2.2, if (γ_n) is a decreasing rearrangement of ζ , then $(\gamma_n) \in \Lambda_0$. Consider the sequence

$$\eta = (\xi_1 \xi_1, \xi_2 \xi_1, \xi_2 \xi_2, \xi_1 \xi_2, \xi_3 \xi_1, \xi_1 \xi_3, \dots, \xi_n \xi_1, \xi_1 \xi_n, \dots)$$

and let (δ_n) be a decreasing rearrangement of η . Then $|\delta_k| \leq |\gamma_k|$ for all k. In fact, suppose that $|\delta_k| > |\gamma_k|$ for some k. Then $|\delta_1| \geq |\delta_2| \geq \ldots \geq |\delta_k| > |\gamma_k|$. Since $|\gamma_m| \leq |\gamma_k| < |\delta_k|$ for all $m \geq k$, we must have that

$$\{\delta_1,\ldots,\delta_k\}\subset\{\gamma_1,\ldots,\gamma_{k-1}\}$$

which clearly is a contradiction. Thus, $|\delta_k| \leq |\gamma_k|$ for all k, and so $(\delta_n) \in \Lambda_0$. let $\mu \in K, |\mu| = \min\{|\xi_1|, |\xi_2|\}$, and consider the sequence

$$(\lambda_n) = (\xi_1, \xi_1, \xi_2, \xi_2, \xi_3, \xi_3, \ldots) = \xi * \xi.$$

Since $|\eta_n| \ge |\mu \lambda_n|$ for all n, there exists some rearrangement of (λ_n) which belongs to Λ_0 and so $(\lambda_n) \in \Lambda_0$ since $|\lambda_n| \ge |\lambda_{n+1}|$ for all n. Since $\alpha_{2n_k} |\xi_{n_k} \ge 1$, we got a contradiction. This clearly completes the proof.

Proposition 3.3: Consider the following conditions:

(1) For each $\alpha \in P$ there exists $\beta \in P$ such that $\sup_{n} \alpha_{n^2} / \beta_n < \infty$.

- (2) If $\xi, \eta \in \Lambda_0$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)}\xi_{\sigma_2(n)}) \in \Lambda_0$.
- (3) If $\xi \in \Lambda_0$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)}\xi_{\sigma_2(n)}) \in \Lambda_0$.

Then $(1) \Rightarrow (2) \Rightarrow (3)$. If P is countable, then (1), (2), (3) are equivalent.

Proof: $(1)\Rightarrow (2)$. Let $\sigma=(\sigma_1,\sigma_2):N\to N\times N$ be defined as follows: Let $\sigma(1)=(1,1)$. For $j=[1+2+\ldots+(n-1)]+k=\frac{n(n-1)}{2}+k,1\leq k\leq n$, let $\sigma(j)=(k,n+1-k)$. Then $(\lambda_n)=(\xi_{\sigma_1(n)}\xi_{\sigma_2(n)})\in\Lambda_0$. In fact, let $\alpha\in P$. Our assumption on P implies that P is stable. Thus, there exists $\beta\in P$ such that $\sup_n\alpha_{2n^2}/\beta_n=d<\infty$. Let $d_1>0$ be such that $|\xi_k|, |\eta_k|\leq d_1$ for all k. Let $\epsilon>0$ be given and choose n_0 such that $\beta_k|\xi_k|, \beta_k|\eta_k|<\frac{\epsilon}{dd_1}$ if $k\geq k_0$. Let now $j>\frac{m(m-1)}{2}$, where $m\geq 2k_0$, and let $j=\frac{n(n-1)}{2}+k,1\leq k\leq n$. Clearly $n\geq m$. We have that either $k\geq \frac{n+1}{2}$ or $n+1-k\geq \frac{n+1}{2}$. If, say, $k\geq \frac{n+1}{2}$, then $j\leq \frac{n(n+1)}{2}\leq 2k^2$ and $\alpha_j|\xi_k\eta_{n+1-k}|\leq d_1\alpha_{2k^2}|\xi_k|\leq d_1d\beta_k|\xi_k|<\epsilon$ since $k\geq \frac{n+1}{2}\geq \frac{m+1}{2}>k_0$. The same happens when $n+1-k\geq \frac{n+1}{2}$. Thus, for $j>\frac{m(m-1)}{2}$, we have $|\alpha_j\lambda_j|<\epsilon$, which proves that $(\lambda_n)\in\Lambda_0$.

Assume next that P is countable and that (3) holds. Let $|\lambda| > 1$. Without loss of generality we may assume that From : Athanasios Katsaras ¡akatsar@cc.uoi.gr; Organization : University of Ioannina Computer Center Dourouti, Ioannina, Greece 451 10 tel : +30-651-45298, fax : +30-651-45298 Date : Wed, 12 Oct 94 12 :32 :30 +0200 To : escassut@ucfma, katsara@cc.uoi.gr

$$P = \{\alpha^{(n)} : n = 0, 1, \ldots\}, [\alpha^{(n-1)}]^2 \le \alpha^{(n)}, |\lambda|\alpha^{(n)} \le \alpha^{(n+1)}$$

 $\alpha_1^{(0)} \geq 1$. Suppose that (1) does not hold and let $\alpha \in P$ be such that $\sup_n \alpha_{n^2}/\beta_n = \infty$ for all $\beta \in P$. Let (n_k) be a sequence of natural numbers, with $n_k > 2n_{k-1}$, such that $\alpha_{n_k^2}/\alpha_{n_k}^{(k)} > k^2$ for $k = 1, 2, \ldots$ Choose $\lambda_k \in K$ with

$$|\lambda^{-1}\lambda_k| \le (k\alpha_{n_k}^{(k-1)})^{-1} \le |\lambda_k|.$$

Let $n_0 = 0$ and, for $n_{k-1} < n \le n_k$, let $\xi_n = \lambda_k$. If $k \ge k_0 + 1$, then

$$\alpha_{n_k}^{(k_0)}|\xi_{n_k}| \le \alpha_{n_k}^{(k-1)}|\lambda|(k\alpha_{n_k}^{(k-1)})^{-1} = \frac{|\lambda|}{k} \to 0 \text{ as } k \to \infty.$$

This proves that $(\xi_n) \in \Lambda_0$. Also,

$$|\xi_{n_{k+1}}| \le |\lambda|((k+1)\alpha_{n_{k+1}}^{(k)})^{-1} \le (k\alpha_{n_k}^{(k-1)})^{-1} \le |\xi_{n_k}|.$$

Let $I_k = \{n : n_{k-1} < n \le n_k\}$. If $i, j \in I_k$, then $|\xi_i \xi_j| = |\xi_{n_k}|$. Let

$$\zeta = (\xi_1 \xi_1, \xi_1 \xi_2, \xi_2 \xi_1, \xi_1 \xi_3, \xi_2 \xi_2, \xi_3 \xi_1, \ldots)$$

and let $\eta = (\eta_1, \eta_2, ...)$ be the sequence which we get by writing first those $\xi_i \xi_j$ with $i, j \in I_1$, then those $i, j \in I_2$ e.t.c. Clearly $|\eta_1| \ge |\eta_2| \ge ...$ By our hypothesis (3), there exists a rearrangement, of the terms of the sequence ζ , which belongs to Λ_0 . This implies that any decreasing rearrangement (μ_n) of ζ also belongs to Λ_0 . Now, for every k, we have $|\mu_k| \ge |\eta_k|$. In fact, if $|\mu_k| < |\eta_k|$, for some k, then

$$\{\eta_1, \eta_2, \ldots, \eta_k\} \subset \{\mu_1, \mu_2, \ldots, \mu_{k-1}\},\$$

a contradiction. Hence $|\mu_m| \geq |\eta_m|$, for all m and so $(\eta_k) \in \Lambda_0$. The number of the terms $\xi_i \xi_j$, with $i, j \in I_k$, is $(\eta_k - \eta_{k-1})^2$. Let $m_1 = n_1^2, m_k = m_{k-1} + (\eta_k - \eta_{k-1})^2$ for $k \geq 2$. Since $n_k > 2n_{k-1}$, we have $n_k - n_{k-1} > \frac{n_k}{2}$ and so $m_k > \frac{n_k^2}{4}$. In view of Proposition 3.2, there exists $\beta \in P$ and $\mu \in K$ with $\alpha_4 n/\beta_n \leq |\mu|$ for all n. Now

$$\beta_{m_k} |\eta_{m_k}| = \beta_{m_k} |\xi_{n_k}|^2 \ge |\mu|^{-1} \alpha_{4m_k} |\xi_{n_k}|^2$$

$$\ge |\mu|^{-1} \alpha_{n_k^2} |\xi_{n_k}|^2 \ge |\mu|^{-1} k^2 \alpha_{n_k}^{(k)} |\xi_{n_k}|^2$$

$$\ge |\mu|^{-1} k^2 (\alpha_{n_k}^{(k-1)})^2 |\xi_{n_k}|^2 \ge |\mu|^{-1},$$

which contradicts the fact that $(\eta_m) \in \Lambda_0$. This clearly completes the proof.

Proposition 3.4: Let $\psi: c_0 \times c_0 \to c_0(N \times N)$ be defined by $\psi(x,y) = (x_iy_j)_{i,j}$ for $x = (x_i), y = (y_i)$. Then

- (1) ψ is a continuous bilinear map and $\|\psi(x,y)\| = \|x\| \|y\|$.
- (2) If $\widetilde{\psi}: c_0 \otimes_{\pi} c_0 \to c_0(N \times N)$ is the corresponding linear map, then $\widetilde{\psi}$ is an isometry and $D = \widetilde{\psi}(c_0 \otimes_{\pi} c_0)$ is dense in $c_0(N \times N)$.
 - (3) The continuous extension $\omega: c_0\widehat{\otimes}_{\pi}c_0 \to c_0(N\times N)$ of $\widetilde{\psi}$ is an onto isometry.

Proof: (1) It is trivial.

(2) Let $u \in c_0 \otimes_{\pi} c_0$ and let p the norm on c_0 and set $||.|| = p \otimes_{\pi} p$. If $u = \sum_{k=1}^m x^k \otimes_{\pi} y^k$, then

$$\|\widetilde{\psi}(u)\| \le \max_{k} \|\widetilde{\psi}(x^{k} \otimes y^{k})\| = \max_{k} \|\psi(x^{(k)}, y^{(k)})\| = \max_{k} p(x^{(k)})p(y^{(k)})$$

and so $\|\widetilde{\psi}(u)\| \leq \|u\|$. On the other hand, given 0 < t < 1, there are t-orthogonal elements $y^{(1)}, \ldots, y^{(n)}$ of c_0 and $x^{(1)}, \ldots, x^{(n)} \in c_0$ such that $u = \sum_{k=1}^n x^k \otimes y^k$. Thus

$$\begin{split} \|\widetilde{\psi}(u)\| &= \sup_{i,j} \|\sum_{k=1}^n x_i^k y_j^k\| \\ &= \sup_i [\sup_j |x_i^1 y_j^1 + x_i^2 y_j^2 + \dots x_i^n y_j^n|] \\ &= \sup_i p(x_i^1 y^{(1)} + x_i^2 y^{(2)} + \dots x_i^n y^{(n)}) \\ &\geq t \sup_i \max_{1 \leq k \leq n} |x_i^{(k)}| p(y^{(k)}) = t \max_{1 \leq k \leq n} p(x^{(k)}) p(y^{(k)}) \geq t \|u\|. \end{split}$$

Since 0 < t < 1 was arbitrary, we have that $\|\widehat{\psi}(u)\| \ge \|u\|$ and so $\|\widehat{\psi}(u)\| = \|u\|$. To see that D is dense in $c_0(N \times N)$, let $w = (\xi_{ij})_{i,j} \in c_0(N \times N)$ and let $\epsilon > 0$. Choose m such that $|\xi_{ij}| < \epsilon$ if i > m or j > m. Let $w_0 = (\mu_{ij})$ with $\mu_{ij} = \xi_{ij}$ if $i, j \le m$ and $\mu_{ij} = 0$ if i > m or j > m. Then $w_0 \in D$ and $\|w - w_0\| \le \epsilon$.

(3) If $u \in c_0 \widehat{\otimes}_{\pi} c_0$, then there exists a sequence $(u^{(n)})$ in $c_0 \otimes_{\pi} c_0$ converging to u. Now

$$\|\omega(u)\| = \lim_{n} \|\widetilde{\psi}(u^{(n)})\| = \lim_{n} \|u^{(n)}\| = \|u\|$$

and so u is an isometry. This and the fact that $\omega(c_0 \widehat{\otimes}_{\pi} c_0)$ is dense in $c_0(N \times N)$ imply that ω is onto.

Proposition 3.5 Let E, F be locally convex spaces over $K, E, F \neq \{0\}$. If $E \otimes F$ is Λ_0 -nuclear, then E and F are Λ_0 -nuclear.

Proof. Since $E \otimes_{\pi} F$ is Λ_0 -nuclear, it is by definition Hausdorff which implies that both E and F are Hausdorff. Let now $p \in cs(E)$ and choose $y_0 \in F$ and $q \in cs(F)$ such that $q(y_0) \neq 0$. Since $E \otimes_{\pi} F$ is Λ_0 -nuclear, there exist (by [7, Proposition 3.4]) $(\lambda_n) \in \Lambda_0$ and an equicontinuous sequence h_n in $(E \otimes_{\pi} F)'$ such that

$$p \otimes q(u) \leq \sup_{n} |\lambda_n h_n(u)| \ (u \in E \otimes_{\pi} F).$$

Let $f_n: E \to K$, $f_n(x) = h_n(x \otimes y_0)$. Then (f_n) is an equicontinuous sequence in E'. Let $\mu \in K$ with $q(y_0) \ge |\mu|^{-1}$. Then

$$p(x) \le |\mu| \sup_{n} |\lambda_n f_n(x)| \ (x \in E)$$

Thus E is Λ_0 -nuclear (by [7, Proposition 3.4]). The proof of the Λ_0 -nuclearity of F is analogous.

If E_1, E_2, F_1, F_2 are locally convex spaces over K and if $T_i: E_i \to F_i, i=1,2$, are linear maps, then $T_1 \otimes T_2: E_1 \otimes E_2 \to F_1 \otimes F_2$ will be defined by

$$T_1 \otimes T_2(x \otimes y) = T_1(x) \otimes T_2(y).$$

We will denote by $N_{\Lambda_0}(E, F)$ the collection of all Λ_0 -nuclear operators from E to F. Recall also that for $\xi \in c_0, T_{\xi} : c_0 \to c_0$ is defined by $(T_{\xi}x)_k = \xi_k x_k$.

Theorem 3.6: Consider the following properties:

- (1) If E_1, E_2, F_1, F_2 are locally convex spaces over K, where F_1, F_2 are Hausdorff, and if $T_i \in N_{\Lambda_0}(E_i, F_i)$, i = 1, 2, then $T_1 \otimes T_2 \in N_{\Lambda_0}(E_1 \otimes_{\pi} E_2, F_1 \otimes_{\pi} F_2)$.
 - (2) If $\xi, \eta \in \Lambda_0$, then $T_{\xi} \otimes T_{\eta} \in N_{\Lambda_0}(c_0 \otimes_{\pi} c_0, c_0 \otimes_{\pi} c_0)$.

- (3) If $\xi \in \Lambda_0$, then $T_{\xi} \otimes T_{\xi} \in N_{\Lambda_0}(c_0 \otimes_{\pi} c_0, c_0 \otimes_{\pi} c_0)$.
- (4) If $\xi, \eta \in \Lambda_0$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)}\eta_{\sigma_2(n)} \in \Lambda_0)$.
- (5) If $\xi \in \Lambda_0$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)}\xi_{\sigma_2(n)} \in \Lambda_0)$.
 - (6) If E, F are Λ_0 -nuclear spaces, then $E \otimes_{\pi} F$ is Λ_0 -nuclear.

Then, (1)-(5) are equivalent and they imply (6).

Proof: Since, for $\xi \in \Lambda_0$, T_{ξ} is Λ_0 -nuclear, it is clear that (1) implies (2).

(3) \Rightarrow (4) Let $\mu_n \in K$ with $|\mu_n| = max\{|\xi_n|, |\eta_n|\}$. Then $\zeta = (\mu_n) \in \Lambda_0$. If there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\xi_{\sigma_1(n)} \eta_{\sigma_2(n)} \in \Lambda_0, \text{ then } (\xi_{\sigma_1(n)} \eta_{\sigma_2(n)} \in \Lambda_0)$.

Thus, we may assume that $\xi = \eta$. If now ξ has only a finite number of nonzero terms, then it is clear that $(\xi_{\sigma_1(n)}\eta_{\sigma_2(n)} \in \Lambda_0 \text{ for any bijection } \sigma = (\sigma_1, \sigma_2) : N \to N \times N$. So, we may assume that the set $\{n : \xi_n \neq 0\}$ is infinite. If $\mu_n \in K$, $|\mu_n| = \sup_{k \geq n} |\xi_k|$, then $(\mu_n) \in \Lambda_0$. It is clear that if we prove the result for (μ_n) , then it would also hold for ξ . Thus, we may assume that $0 < |\xi_{n+1}| \leq |\xi_n|$ for all n. Let $T = T_{\xi}$. By our hypothesis $T \otimes T \in N_{\Lambda_0}(c_0 \otimes_{\pi} c_0, c_0 \otimes_{\pi} c_0)$. Let $\omega : c_0 \widehat{\otimes_{\pi}} c_0 \to c_0 (N \times N)$ be the onto isometry in Proposition 3.4. Since $T \otimes T$ is Λ_0 -nuclear, the same is true with the continuous extension $T \widehat{\otimes} T : c_0 \widehat{\otimes_{\pi}} c_0 \to c_0 \widehat{\otimes_{\pi}} c_0$. In view of [5, Proposition 4.5], the map

$$S = \omega(T \widehat{\otimes} T) \omega^{-1} : c_0(N \times N) \to c_0(N \times N)$$

is Λ_0 -nuclear. It is easy to see that for every $w = (w_{i,j})$ in $c_0(N \times N)$ we have $S(w) = (\xi_i \xi_j w_{ij})$. Let

$$\zeta = (\xi_1 \xi_1, \xi_1 \xi_2, \xi_2 \xi_1, \xi_1 \xi_3, \xi_2 \xi_2, \xi_3 \xi_1, \ldots)$$

and let (μ_n) be a decreasing rearrangement of ζ .

It is clear that there exists some bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $\mu_n = \xi_{\sigma_1(n)} \xi_{\sigma_2(n)}$ for all n. So it suffices to show that $(\mu_n) \in \Lambda_0$. If \mathcal{F}_{n+1} is the family of all subsets J of $N \times N$ containing n+1 elements, then

$$\alpha_n(S) = \sup_{J \in \mathcal{F}_{n+1}} \inf_{i \in J} |\xi_i \xi_j|$$

by Proposition 0.1. Since $|\mu_k| \ge |\mu_{k+1}|$ for all k, it is clear that $\alpha_n(S) = |\mu_{n+1}|$. Thus $(\mu_n) \in \Lambda_0$ since S is Λ_0 -nuclear and hence of type Λ_0 (see[5, Theorem 4.2]). This completes the proof of the implication $(1) \Rightarrow (4)$.

(5) \Rightarrow (1). Let $E_1, E_2, F_1, F_2, T_1, T_2$ be as in (1). Since $T_1 : E_1 \to \widehat{F}_1$ and $T_2 : E_2 \to \widehat{F}_2$ are Λ_0 -nuclear, there are (by Proposition 2.1) $\gamma = (\gamma_n), \delta = (\delta_n) \in \Lambda_0$ and continuous linear maps $S_1 : E_1 \to c_0, S_2 : c_o \to \widehat{F}_1, H_1 : E_2 \to c_0, H_2 : c_o \to \widehat{F}_2$ such that

$$T_1 = S_2 T_{\gamma} S_1$$
 and $T_2 = H_2 T_{\delta} H_1$.

Now

$$T_1 \otimes T_2 = (S_2 \otimes H_2)(T_{\gamma} \otimes T_{\delta})(S_1 \otimes H_1).$$

In order to show that $T_1 \otimes T_2$ is Λ_0 -nuclear, it suffices (by [5, Proposition 4.5]) to show that

$$S = T_{\gamma} \otimes T_{\delta} : c_0 \otimes_{\pi} c_0 \to c_0 \otimes_{\pi} c_0$$

is Λ_0 -nuclear. For this, it is enough to show that the continuous extension

$$\widehat{S}: c_0 \widehat{\otimes}_{\pi} c_0 \to c_0 \widehat{\otimes}_{\pi} c_0$$

is Λ_0 -nuclear. Let $\omega: c_0 \widehat{\otimes}_{\pi} c_0 \to c_0(N \times N)$ be the onto isometry defined in proposition 3.4 and let

$$H = \omega \widehat{S} \omega^{-1} : c_0(N \times N) \to c_0(N \times N)$$

Since $\widehat{S} = \omega^{-1}H\omega$, it suffices to show that H is Λ_0 -nuclear. It is easy to see that (5) implies (4). Thus, our hypothesis (5) implies that there exists a bijection $\sigma = (\sigma_1, \sigma_2) : N \to N \times N$ such that $(\gamma_{\sigma_1(n)}\delta_{\sigma_2(n)}) \in \Lambda_0$. For each $n \in N$, let $f_n \in c_0(N \times N)'$ be defined by $f_n(w) = w_{\sigma_1(n)\sigma_2(n)}$ and let $z^{(n)} \in c_0(N \times N)$, where $z_{ij}^{(n)} = 1$ if $(i,j) = \sigma(n)$ and $z_{ij}^{(n)} = 0$ if $(i,j) \neq \sigma(n)$. Now, $(z^{(n)})$ is a bounded sequence in $c_0(N \times N)$, (f_n) an equicontinuous sequence in $c_0(N \times N)'$ and

$$H(w) = \sum_{n=1}^{\infty} \xi_n f_n(w) z^{(n)}, \quad \xi_n = \gamma_{\sigma_1(n)} \delta_{\sigma_2(n)}.$$

Thus H is Λ_0 -nuclear, which proves the implication $(5) \Rightarrow (1)$.

 $(1) \Rightarrow (6)$. Let p, q be continuous seminorms on E and F, respectively, and $r = p \otimes q$. Consider the canonical linear isometry

$$h = E_p \otimes_{\pi} E_q \to (E \otimes F)_r.$$

Since E, F are Λ_0 -nuclear, the quotient maps

$$\phi_p: E \to E_p \quad and \quad \phi_q: F \to F_q$$

are Λ_0 -nuclear and so the map

$$\phi_p \otimes \phi_q : E \otimes_{\pi} F \to E_p \otimes_{\pi} F_q$$

is Λ_0 -nuclear. It follows that the map

$$f = h \circ (\phi_p \otimes \phi_q) : E \otimes_{\pi} F \to (E \otimes F)_r$$

is Λ_0 -nuclear. Since f is the canonical surjection, it follows that $E \otimes_{\pi} F$ is Λ_0 -nuclear.

In view of Proposition 3.3, we have the following

Corollary 3.7 Consider the following property for P:

- (*) For each $\alpha \in P$ there exists $\beta \in P$ such that $\sup_{n} \alpha_{n^2}/\beta_n < \infty$. Then
- a) If (*) holds, then (1)-(6) of the preceding Theorem hold.
- b) If P is countable, then property (\star) is equivalent to each of the (1)-(5) in the preceding Theorem.

Proposition 3.8 Let $\Lambda = \Lambda_{\gamma,\infty}$, where $\gamma = (\gamma_n)$ is not bounded. Then, the following are equivalent:

- (1) $\sup_{n} \gamma_{n^2}/\gamma_n < \infty$.
- (2) If $\zeta, \eta \in \Lambda = \Lambda_0$, then there exists a bijection $\sigma = (\sigma_1, \sigma_2 : N \to N \times N \text{ such that } (\xi_{\sigma_1(n)}\eta_{\sigma_2(n)}) \in \Lambda_0$.

Proof: (1) \Rightarrow (2) Let $d = \sup_{n} \gamma_{n^2} / \gamma_n$. Then $d \geq 1$. Given $\rho > 1$, let $\rho_1 = \rho^d$. Then

$$\rho^{\gamma_{n^2}}/\rho_1^{\gamma_n} \le \rho^{d\gamma_n}/\rho_1^{\gamma_n} = 1.$$

Now the implication follows from Proposition 3.3.

 $(2) \Rightarrow (1)$ If $\alpha^{(m)} = (m^{\gamma_n})$, for m = 2, 3, ... and if $P = \{\alpha^{(m)} : m \geq 2\}$, then $\Lambda_0 = \Lambda_0(P)$. In view of proposition 3.3, for each $\alpha \in P$ there exists $\beta \in P$ such that $\sup_n \alpha_{n^2}/\beta_n < \infty$. Hence, there exists $m \geq 2$ such that $\sup_n 2^{\gamma_{n^2}}/m^{\gamma_n} < \infty$. Suppose now that $\sup_n \gamma_{n^2}/\gamma_n = \infty$. Choose indices $n_1 < n_2 < ...$ such that $\gamma_{n_k^2}/\gamma_{n_k} > k$. If $2^k > m$, then

$$2^{\gamma_{n_k^2}}/m^{\gamma_{n_k}} \geq (\frac{2^k}{m})^{n_k} > \frac{2^k}{m} \to \infty \quad as \quad k \to \infty,$$

a contadiction.

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